

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/789,110

Confirmation No.:

Applicant

Suon et al.

Filed

February 26, 2004

TC/A.U.

Unknown

Examiner

Unknown

Title

RETRIEVAL DEVICES FOR VENA CAVA FILTER

Docket No.

1001.1286103

Customer No. :

28075

TRANSMITTAL SHEET

Mail Stop AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV333852389US, in an envelope addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7 day of May , 2004.

JoAnn Lindman

We are transmitting herewith the attached:

[X] Information Disclosure Statement.

[X]

Form 1449.

[X]

Postcard receipt.

Please consider this transmittal as a Petition for Extension of Time for a sufficient number of months to enter these papers or any future reply, if necessary. Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Glenn M. Seager, Reg. No. 36,926

Customer No. 2807

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

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By John Lindson

JoAnn Lindman

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Copies of the documents listed on the 1449 Form were previously either submitted by Applicant, cited by the U.S. Patent and Trademark Office in a prior application, U.S. Application No. 10/020,705, filed December 14, 2001, now U.S. Patent No. 6,726,621 and U.S. Application No. 09/404,116, filed September 23, 1999, now U.S. Patent No. 6,342,062 or is a U.S. patent application publication or a U.S. patent, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the

provisions of 37 C.F.R. §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated:

Respectfully, submitted,

Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

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FORM PTO-1449 Atty. Docket No.: 1001.1286103 Applicant: LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT DISCLOSURE STATEMENT Atty. Docket No.: 100789,110 Applicant: Suon et al. Filing Date Group Art:

February 26, 2004

MAY 0 7 2004

U.S. PATENT DOCUMENTS

in at	<u>/</u>	U.S	S. PATENT DOCUMEN	rs		
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
Initial	3,952,747	04/1976	Kimmell, Jr.			
	4,425,908	01/1984	Simon			
	4,643,184	02/1987	Mobin-Uddin			
	4,832,055	05/1989	Palestrant			-
	4,990,156	02/1991	Lefebvre			
	4,994,079	02/1991	Genese et al.			
	5,108,406	04/1992	Lee			
	5,147,379	09/1992	Sabbaghian et al.			
	5,171,233	12/1992	Amplatz et al.			
	5,171,314	12/1992	Dulebohn			
	5,300,086	04/1994	Gory et al.			
	5,324,304	06/1994	Rasmussen			
	5,344,427	09/1994	Cottenceau et al.			
	5,370,657	12/1994	Irie			
	5,383,887	01/1995	Nadal			
	5,413,586	05/1995	Dibie et al.			
	5,415,630	05/1995	Gory et al.			
	5,634,942	06/1997	Chevillon et al.			
	5,649,953	07/1997	Lefebvre			
	5,681,347	10/1997	Cathcart et al.			
	5,944,728	08/1999	Bates			
	5,993,474	11/1999	Ouchi			
	6,007,558	12/28/99	Ravenscroft et al.			

EXAMINER:

DATE CONSIDERED:

Unknown

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MAY 0 7 2004 S FORM PTO-1449	Atty. Docket No.: 1001.1286103	Serial No.: 10/789,110		
OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION	Applicant:			
TRAVEST OF PATENTS AND PUBLICATIONS FOR	Suon et al.			
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Filing Date	Group Art:		
	February 26, 2004	Unknown		

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,251,122	6/26/01	Tsukernik			
	6,258,026	7/10/01	Ravenscroft et al.			
	6,331,183	12/18/01	Suon			
	6,342,062	1/29/02	Suon et al.			
	6,447,530	9/10/02	Ostrovsky et al.			
	6,726,621	4/27/04	Suon et al.			

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
					•	
-						<u> </u>

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Greenfield et al., "Staging of Fixation and Retrievability of Greenfield Filters", Journal of Vascular Surgery, pgs. 744-750, November 1994
 Millward, "Temporary and Retrievable Inferior Vena Cava Filters: Current Status ¹⁷ , JVIR, Vol. 9, No. 3, pgs. 381-387, May-June 1998.
"Gunther Tulip Vena Cava Filter Set", brochure, 10 pgs.
 "Tricep™ Hooked-Prong Grasping Forceps", Microvasive Boston Scientific Corporation brochure, 1 pg.

DATE CONSIDERED:

EXAMINER: